

18351 U.S. PTO  
012004

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **BER-P-03-060**

First Named Inventor or Application Identifier:

**BERGERSEN**

Express Mail Label No. EL613927130US

22390 U.S. PTO  
10/760604  
012004

ADDRESS TO: **MS Patent Application  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 33
2. ☒ Drawing(s) (35 USC 113) Total Pages 7
3. ☒ Declaration and POA Total Pages 02
  - a. ☒ Newly executed ( OR FAXED COPY)
  - b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)  
[Note Box 4 Below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
including check for \$40.00 recordation fee
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☒ Small Entity status under 37 CFR 1.27 is claimed
12. ☐ Statement filed in prior application,  
Status still proper and desired
13. ☐ Certified Copy of Priority Document(s)
14. ☐ Other: Communication regarding address

14. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☒ Provisional Conversion ☐ Divisional ☒ of prior application No: 60/441,619

## CLAIMS AS FILED

(1) NUMBER OF CLAIMS ALLOWED	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$385.00
TOTAL CLAIMS <u>20</u>	<u>56</u>	<u>36</u>	<u>9.00</u>	<u>324.00</u>
INDEPENDENT CLAIMS <u>03</u>	<u>05</u>	<u>2</u>	<u>43.00</u>	<u>86.00</u>
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			<u>280.00</u>	<u>0</u>
TOTAL FILING FEE ->				<u>\$795.00</u>

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to **DEPOSIT ACCOUNT NO. 50-0595**. A duplicate copy of this sheet is enclosed.

## 15. CORRESPONDENCE ADDRESS

**PATENTS+TMS**  
A Professional Corporation  
1914 North Milwaukee Avenue  
Chicago, Illinois 60647  
Telephone (773)772-6009 - Fax (773)772-3210

SIGNATURE:

Brian M. Mattson (Reg. No. 35,018)

DATE:

1/20/04

Patents +TMS  
1914 North Milwaukee Avenue  
Chicago, IL 60647  
Tel.: 773/772-6009  
Fax: 773/772-3210

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**EXPRESS MAIL LABEL NUMBER: EL613927130US**

**DATE OF DEPOSIT: January 20, 2004**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

MS Patent Application  
Asst. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CONTENTS:**


Our Case No.: BER-P-03-060

Patent application for: BERGERSEN

Entitled: "A DENTAL APPLIANCE AND A SYSTEM AND A METHOD FOR REDUCING AN AMOUNT OF PATIENT COOPERATION TO TREAT A MALOCCLUSION USING THE DENTAL APPLIANCE"

**ENCLOSED:**

1. Patent Application Transmittal (in duplicate)
2. Specification (33 pages) (including one page abstract)
3. Drawings (7 sheets of 1, 2, 3, 4, 5, 6A, 6B, 7, 8, 9, 10, 11, 12, and 13)
4. Check for \$795.00
5. Declaration and Power of Attorney
6. Return receipt postcard



Signature of person mailing documents and fees